UPDATED REGISTRATION STATEMENT
EXECUTIVE AGENCY LOBBYIST

INSTRUCTIONS

Please complete all sections and all items of the Updated Registration Statement. **Do not leave blanks**; write "none" or check the box "If none, check here" where applicable. If additional space is needed for any item, attach an additional sheet, identifying the section and item number to which the attachment relates. If you have marked "amended," you need only fill in the amended information.

Refer to KRS 11A.211, 11A.216, and 11A.221 as to what information is sought in each section of this combined statement. In addition, certain items are explained below.

A. GENERAL INFORMATION

Item 1: Provide your full name as it appears on your Initial Registration Statement; your executive agency lobbyist number as found on your Executive Agency Lobbyist Registration Card; and the name of your employer.

Item 3: Indicate whether this is a regular or final updated statement or an amended statement, i.e. a statement which is being filed after a statement had been previously filed for the same reporting period. An amended statement should be filed if a correction is requested or needs to be made, e.g. a change in Total Expenditure amount in which you later discovered an error; change in address; or filing of an expenditure amount previously withheld from the original statement due to a dispute. If an amended statement is being filed, indicate which reporting year the statement is amending, and complete only those sections which are being changed by the amended filing.

Item 5: If your initial registration indicated any Real Party(ies) in Interest, and you are adding or deleting a Real Party, indicate whether the Real Party is an addition or deletion.

B. EXECUTIVE AGENCY DECISIONS

If you are an executive agency lobbyist ***who represents more than one employer,*** you must complete Sections B and C for each employer you represent by copying the third page of the statement. At the top of the third page indicate the employer for which you are reporting, your name and lobbyist number. Then complete Section B and Section C as it relates to the employer you listed at the top of the page. Attach the additional copies of the third page to the Updated Registration Statement, making sure the signature page follows.

Item 1: List the specific executive agency decisions which you attempted to influence, on behalf of the employer, during the reporting period and the specific agencies contacted. An example of an executive agency decision to be listed is "contract for purchase of (commodity) by Kentucky Department of _________." List contract or purchase order number, if known.

Item 2: Since your Initial Registration Statement or most recent Updated Registration Statement, describe any additional types of executive agency decisions which relate to your engagement. For example, if your initial registration stated that you will be attempting to influence executive agency decisions relative to "Contracts for Data Processing Services", and now you will also be attempting to influence "Contracts for Purchase of Computer Hardware", write in the latter.

C. EXECUTIVE AGENCY LOBBYIST EXPENDITURE STATEMENT

Report all expenditures made by you on behalf of your employer to, or for the benefit of, an elected executive official, the secretary of a cabinet listed in KRS 12.250, an executive agency official, or a member of the staff of any of these officials, unless the expenditure was made for the benefit of an official of a cabinet which the lobbyist is not engaged to influence. Examples of expenditures to be reported include amounts for food and beverages, transportation, lodging, honoraria, and miscellaneous expenditures. List the name of the official or employee for whom the expenditure was made; type of expenditure; for what meeting, event or occasion; when the expenditure occurred; and the amount of the expenditure. Any expenditure reported requires the naming of an official or employee, and requires that a copy of the applicable section(s) of the expenditure statement be delivered to the identified official or employee at least ten (10) days before the statement is to be filed with the Executive Branch Ethics Commission.

See KRS 11A.226 for the procedure to be followed relative to any dispute which may arise concerning a reported expenditure.

D. FINANCIAL TRANSACTIONS INVOLVING EXECUTIVE AGENCY LOBBYISTS

If you, or a member of your immediate family, had, during the reporting period, a financial transaction with, or for the benefit of an official or employee so listed, state the name of the official or employee, the purpose and nature of the transaction, the date such transaction was made or entered into, and any other pertinent details.

A financial transaction is a transaction or activity that is conducted or undertaken for profit and arises from the ownership, or the joint ownership, or part ownership in common of any real or personal property or any commercial or business enterprise of any form or nature between:

1. An executive agency lobbyist, his employer, a real party in interest or a member of the immediate family of an executive agency lobbyist, his employer, or a real party in interest, AND

2. Any executive agency employee (including elected and appointed officials) UNLESS such transaction is available to the general public on the same terms.

The reporting of any financial transaction requires the naming of an official or employee, and requires that a copy of the financial transaction statement be delivered to the identified official or employee at least ten (10) days before the statement is to be filed with the Executive Branch Ethics Commission.

See KRS 11A.226 for the procedure to be followed relative to any dispute that may arise concerning a reported financial transaction.

There is no fee required with the filing of the Updated Registration Statement, Executive Agency Lobbyist.

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CERTIFICATION:

This section requires the **original signature** of the executive agency lobbyist and the date on which the statement was signed. The signing of the statement attests to the completeness and accuracy of the combined statement, according to the best knowledge of the executive agency lobbyist. You may complete the statement electronically by accessing the form from the Commission's internet website; however, the form must be printed, signed and mailed to the address below. The law requires an "original" signature on all forms.

COMPLETED AND SIGNED STATEMENTS ARE DUE ***ON OR BEFORE*** July 31.

If you have questions, contact:

Executive Branch Ethics Commission
#3 Fountain Place
Frankfort, Kentucky 40601
(502) 564-7954
FAX (502) 564-2686